

(Please Use this Form for Filing your Local Law with the Secretary of State)

Text of law should be given as amended. Do not use brackets for matter to be eliminated and do not use italics for new matter.

\*County\*  
City\* of CARROLLTON  
Town  
\*Village\*  
Local Law No. 1 of the year 19 70

A local law ESTABLISHING A CODE OF ETHICS FOR OFFICERS AND EMPLOYEES  
(Insert title)  
OF THE TOWN OF CARROLLTON

Be it enacted by the TOWN BOARD of the  
(Name of Legislative Body)

County  
City\* of CARROLLTON as follows:  
Town  
Village

Section 1: Pursuant to the provisions of section eight hundred six of the general municipal law, that there are rules of ethical conduct for public officers and employees which must be observed if a high degree of moral conduct is to be obtained and if public confidence is to be maintained in our unit of local government. It is the purpose of this local law to promulgate these rules of ethical conduct for the officers and employees of the Town of Carrollton. These rules shall serve as a guide for official conduct of this local law as adopted, shall not conflict with, but shall be in addition to any prohibition of article eighteen of the general municipal law or any other general or special law relating to ethical conduct and interest in contracts of municipal officers and employees.

Section 2: Definition

- (a) "Municipal Officer or Employee" means an officer or employee of the Town of Carrollton, whether paid or unpaid, including members of any administrative board, commission or other agency thereof.
- (b) "Interest" means a pecuniary or material benefit accruing to a municipal Officer or employee unless the context otherwise requires.

Section 3: Standards of Conduct: Every officer or employee of the Town of Carrollton shall be subject to and abide by the following standards of conduct:

- (a) GIFTS - He shall not directly or indirectly, solicit any gift; or accept or receive any gift having a value of twenty-five dollars or more, whether in the form of money, services, loan, travel, entertainment, hospitality, thing or promise, or any other form, under circumstances in which it could reasonably be inferred that the gift was intended to influence him, or could reasonably be expected to influence him, in the performance of his official duties or was intended as a reward for any official

(Cont. 3a)

action on his part.

- (b) CONFIDENTIAL INFORMATION - He shall not disclose confidential information acquired by him in the course of his official duties or use such information to further his personal interest.
- (c) REPRESENTATION BEFORE ONE'S OWN AGENCY - He shall not receive or enter into any agreement, express or implied, for compensation for services to be rendered in relation to any matter before any municipal agency of which he is an officer, member or employee or any municipal agency over which he has jurisdiction or to which he has the power to appoint any member, officer or employee.
- (d) REPRESENTATION BEFORE ANY AGENCY FOR A CONTINGENT FEE - He shall not receive, or enter into any agreement, express or implied for compensation for services to be rendered in relation to any matter before any agency of his municipality, whereby his compensation is to be dependent or contingent upon any action by such agency with respect to such matter, provided that this paragraph shall not prohibit the fixing at any time of fees based upon the reasonable value of the services rendered.
- (e) INVESTMENTS IN CONFLICT WITH OFFICIAL DUTIES - He shall not invest or hold any investment directly or indirectly in any financial, business, commercial or other private transaction, which creates a conflict with his official duties.
- (f) PRIVATE EMPLOYMENT - He shall not engage in, solicit, negotiate for or promise to accept private employment or render services for private interests when such employment or service creates a conflict with his official duties.
- (g) FUTURE EMPLOYMENT - He shall not, after the termination of service or employment with such municipality, appear before any board or agency of the Town of Carrollton to any case, proceeding or application in which he personally participated during the period of his service or employment or which was under his active consideration.

Section 4: Distribution of Code of Ethics: Supervisor of the Town of Carrollton shall cause a copy of this code of ethics to be distributed to every officer within ten days after the effective date of this local law. Each officer elected or appointed thereafter shall be furnished a copy before entering upon the duties of his office or employment.

Section 5: Penalties: In addition to any penalty contained in any other provision of law, any person who shall knowingly and intentionally violate any of the provisions of this code may be fined, suspended or removed from office or employment as the case may be, in the manner provided by law.

Section 6: Within five days after the adoption hereof the Town of Carrollton clerk shall file one certified copy in the Office of the State Comptroller and three certified copies in the Office of the Secretary of State.

(Complete the certification in the paragraph which applies to the filing of this local law and strike out the matter therein which is not applicable.)

(Final adoption by local legislative body only.)

1. I hereby certify that the local law annexed hereto, designated as local law No. 1 of 1970  
County  
City of Carrollton was duly passed by Town Board  
Town  
Village (Name of Legislative Body)  
on December 8 1970 in accordance with the applicable provisions of law.

(Passage by local legislative body with approval or no disapproval by Elective Chief Executive Officer or repassage after disapproval.)

2. I hereby certify that the local law annexed hereto, designated as local law No. \_\_\_\_\_ of 19\_\_\_\_\_  
County  
City of \_\_\_\_\_ was duly passed by \_\_\_\_\_  
Town (Name of Legislative Body)  
Village  
on \_\_\_\_\_ 19\_\_\_\_\_ and was approved \_\_\_\_\_ by the \_\_\_\_\_  
not disapproved \_\_\_\_\_  
repassed after disapproval \_\_\_\_\_ Elective Chief Executive Officer  
and was deemed duly adopted on \_\_\_\_\_ 19\_\_\_\_\_, in accordance with the applicable provisions of law.

(Final adoption by referendum.)

3. I hereby certify that the local law annexed hereto, designated as local law No. \_\_\_\_\_ of 19\_\_\_\_\_  
County  
City of \_\_\_\_\_ was duly passed by the \_\_\_\_\_  
Town (Name of Legislative Body)  
Village  
on \_\_\_\_\_ 19\_\_\_\_\_ and was approved \_\_\_\_\_ by the \_\_\_\_\_  
not disapproved \_\_\_\_\_  
repassed after disapproval \_\_\_\_\_ Elective Chief Executive Officer  
on \_\_\_\_\_ 19\_\_\_\_\_. Such local law was submitted to the people by reason of a  
mandatory referendum and received the affirmative vote of a majority of the qualified electors voting  
permissive general  
thereon at the special election held on \_\_\_\_\_ 19\_\_\_\_\_, in accordance with the appli-  
annual  
cable provisions of law.

(Subject to permissive referendum and final adoption because no valid petition filed requesting referendum.)

4. I hereby certify that the local law annexed hereto, designated as local law No. \_\_\_\_\_ of 19\_\_\_\_\_  
County  
City of \_\_\_\_\_ was duly passed by the \_\_\_\_\_ on \_\_\_\_\_  
Town (Name of Legislative Body)  
Village  
on \_\_\_\_\_ 19\_\_\_\_\_ and was approved \_\_\_\_\_ by the \_\_\_\_\_ on \_\_\_\_\_  
not disapproved \_\_\_\_\_  
repassed after disapproval \_\_\_\_\_ Elective Chief Executive Officer  
\_\_\_\_\_ 19\_\_\_\_\_. Such local law being subject to a permissive referendum and no  
valid petition requesting such referendum having been filed, said local law was deemed duly adopted on  
\_\_\_\_\_ 19\_\_\_\_\_, in accordance with the applicable provisions of law.

\*Elective Chief Executive Officer means or includes the chief executive officer of a county elected on a county-wide basis or if there be none the chairman of the board of supervisors, the mayor of a city or village or the supervisor of a town, where such officer is vested with power to approve or veto local laws or ordinances.



(City local law concerning Charter revision proposed by petition.)

5. I hereby certify that the local law annexed hereto, designated as local law No. .... of 19.... of the City of..... having been submitted to referendum pursuant to the provisions of § 36 of the Municipal Home Rule Law and having received the affirmative vote of a majority of the qualified electors of such city voting thereon at the special election held on the ..... 19 ..... became operative.

(If any other authorized form of final adoption has been followed, please provide an appropriate certification.)

I further certify that I have compared the preceding local law with the original on file in this office and that the same is a correct transcript therefrom and of the whole of such original local law, and was finally adopted in the manner indicated in paragraph ..... one ..... above.

Wanda Fleming - Town Clerk  
Clerk of the Board of Supervisors, City, Town or Village Clerk or Officer  
designated by Local Legislative Body

Date: December 8th, 1970

(Seal)

(Certification to be executed by County Attorney, Corporation Counsel, Town Attorney, Village Attorney or other authorized Attorney of locality.)

STATE OF NEW YORK  
COUNTY OF .....

I, the undersigned, hereby certify that the foregoing local law contains the correct text and that all proper proceedings have been had or taken for the enactment of the local law annexed hereto.

.....  
(Title of Officer)  
County  
City of .....  
Town  
Village

Dated: